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PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted With Initial Filing <b>OR</b> <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	6154-0193
	<b>First Named Inventor</b>	Rogers C. Ritter
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09/678,640
	<b>Filing Date</b>	October 3, 2000
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Method for Safely and Efficiently Navigating Magnetic Devices in the Body**

the specification of which (Title of the Invention)

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) October 3, 2000 as United States Application Number or PCT International

Application Number 09/678,640 and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Country	Priority Not Claimed	Certified Copy Attached?	
					YES	NO
				<input type="checkbox"/>	<input type="checkbox"/>	
				<input type="checkbox"/>	<input type="checkbox"/>	
				<input type="checkbox"/>	<input type="checkbox"/>	
				<input type="checkbox"/>	<input type="checkbox"/>	

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/157,619	10/04/1999	

[Page 1 of 2]

**Burden Hour Statement:** This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input type="checkbox"/> Customer Number or Bar Code Label		OR	<input checked="" type="checkbox"/> Correspondence address below
Name	Bryan K. Wheelock				
Address	Harness, Dickey & Pierce				
Address	7700 Bonhomme, Suite 400				
City	State	ZIP			
St. Louis	Missouri	63105			
Country	Telephone	Fax			
U.S.A.	(314) 726-7500	(314) 726-7501			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Family Name or Surname				
Rogers C. Ritter					
Inventor's Signature	<i>Rogers C. Ritter</i>			Date	<i>Jan 10, 2001</i>
Residence: City	State	Country	Citizenship		
Charlottesville	Virginia	U.S.A.	U.S.		
Mailing Address 4041 Forest Park Avenue					
Mailing Address					
City	State	ZIP	Country		
St. Louis	Missouri	63108	U.S.A.		
NAME OF SECOND INVENTOR:		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Family Name or Surname				
Bevil J. Hogg					
Inventor's Signature	<i>Bevil J. Hogg</i>			Date	<i>Jan. 18, 2001</i>
Residence: City	State	Country	Citizenship		
Town & Country	Missouri	U.S.A.	U.S.		
Mailing Address 4041 Forest Park Avenue					
Mailing Address					
City	State	ZIP	Country		
St. Louis	Missouri	63108	U.S.A.		

<b>NAME OF THIRD INVENTOR:</b>		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Peter R. Werp		Family Name or Surname	
Inventor's Signature <i>Peter R. Werp</i>		Date Jan. 18, 2001	
Residence: City St. Louis	State Missouri	Country U.S.A.	Citizenship U.S.
Mailing Address 4041 Forest Park Avenue			
Mailing Address			
City St. Louis	State Missouri	ZIP 63108	Country U.S.A.
<b>NAME OF FOURTH INVENTOR:</b>		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Walter M. Blume		Family Name or Surname	
Inventor's Signature <i>Walter Blume</i>		Date 1/3/01	
Residence: City Webster Groves	State Missouri	Country U.S.A.	Citizenship U.S.
Mailing Address 4041 Forest Park Avenue			
Mailing Address			
City St. Louis	State Missouri	ZIP 63108	Country U.S.A.
<b>NAME OF FIFTH INVENTOR:</b>		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Francis M. Creighton, IV		Family Name or Surname	
Inventor's Signature <i>Francis M. Creighton</i>		Date 1/3/01	
Residence: City St. Louis	State Missouri	Country U.S.A.	Citizenship U.S.
Mailing Address 4041 Forest Park Avenue			
Mailing Address			
City St. Louis	State Missouri	ZIP 63108	Country U.S.A.

NAME OF SIXTH INVENTOR:		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Roger N. Hastings		Family Name or Surname	
Inventor's Signature <i>Roger Hastings</i>		Date 1-2-01	
Residence: City Maple Grove	State Minnesota	Country U.S.A.	Citizenship U.S.
Mailing Address 7351 Kirkwood Lane N, Suite 116			
Mailing Address			
City Maple Grove	State Minnesota	ZIP <del>55376</del> 55369	Country U.S.A.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

APRIL 24, 2001

PTAS



\*101612363A\*

HARNESS, DICKEY & PIERCE  
BRYAN K. WHEELock  
7700 BONHOMME, SUITE 400  
ST. LOUIS, MISSOURI 63105

RECEIVED  
APR 30 2001

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2001

REEL/FRAME: 011501/0761  
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RITTER, ROGERS C.

DOC DATE: 01/10/2001

ASSIGNOR:

HOGG, BEVIL J.

DOC DATE: 01/18/2001

ASSIGNOR:

WERP, PETER R.

DOC DATE: 01/18/2001

ASSIGNOR:

BLUME, WALTER M.

DOC DATE: 01/03/2001

ASSIGNOR:

CREIGHTON, FRANCIS M., IV

DOC DATE: 01/03/2001

ASSIGNOR:

HASTINGS, ROGER N.

DOC DATE: 01/02/2001

ASSIGNEE:

STEREOTAXIS, INC.  
4041 FOREST PARK AVENUE  
ST. LOUIS, MISSOURI 63108

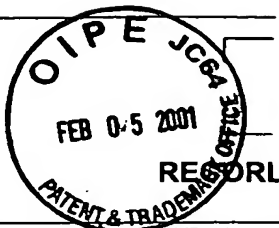
011501/0761 PAGE 2

SERIAL NUMBER: 09678640  
PATENT NUMBER:

FILING DATE: 10/03/2000  
ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027



02-13-2001



101612363

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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Document ID #   
Correction of PTO Error  
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☐ Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement  
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☐ Merger ☐ Other

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- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

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Address (line 3)

City

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Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

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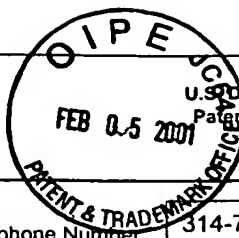
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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

02/08/2001 SLUANG1 00000094 080750 09678640

05 FC:531

40.00 CH



**Correspondent Name and Address**

Area Code and Telephone Number

314-726-7500

Name Bryan K. Wheelock

Address (line 1) Harness, Dickey & Pierce

Address (line 2) 7700 Bonhomme, Suite 400

Address (line 3) St. Louis, Missouri 63105

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments

#

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/678,640

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application  
Number as not been assigned.

PCT

PCT

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PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#

1

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Fee Amount for Properties Listed (37 CFR 3.41):

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Deposit Account Number:

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08-0750

Authorization to charge additional fees:

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No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bryan K. Wheelock

Name of Person Signing

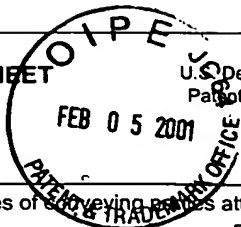
Signature

January 31, 2001

Date

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**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

**Enter additional Conveying Parties**

Name (line 1) **Peter R. Werp**

Execution Date  
Month Day Year  
**01 18 2001**

Name (line 2)

Name (line 1) **Walter M. Blume**

Execution Date  
Month Day Year  
**01 03 2001**

Name (line 2)

Name (line 1) **Francis M. Creighton, IV**

Execution Date  
Month Day Year  
**01 03 2001**

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

**Enter additional Receiving Party(ies)**

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

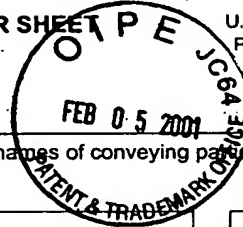
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**


**Patent Number(s)**


RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
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**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

**Enter additional Conveying Parties**

Name (line 1) Roger N. Hastings

Execution Date  
Month Day Year  
01 02 2001

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

**Enter additional Receiving Party(ies)**

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**


**ASSIGNMENT**

WHEREAS, We, Rogers C. Ritter of Charlottesville, Virginia, Bevil J. Hogg of Town & Country, Missouri, Peter R. Werp of St. Louis, Missouri, Walter M. Blume of Webster Groves, Missouri, Francis M. Creighton, IV of St. Louis, Missouri, and Roger N. Hastings of Maple Grove, Minnesota, have invented certain new and useful improvements for a Method for Safely and Efficiently Navigating Magnetic Devices in the Body (hereinafter referred to as "Invention") for which an application for Letters Patent in the United States of America was filed on October 3, 2000, and assigned Serial No. 09/678,640; and

WHEREAS, we desire to assign all right, title and interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to Stereotaxis, Inc., a corporation of the State of Delaware, having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, Missouri 63108 (hereinafter referred to as "Assignee"); and

WHEREAS, Assignee, is desirous of acquiring the entire right, title and interest in and to said Invention and improvements as disclosed in said application, in and to said application, and in and to any Letters Patent which may be granted therefor.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Rogers C. Ritter, Bevil J. Hogg, Peter R. Werp, Walter M. Blume, Francis M. Creighton, IV and Roger N. Hastings, have sold, assigned and transferred, and do hereby sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest in and to said Invention and improvements as the same are disclosed in said U.S. Patent Application Serial No. 09/678,640, in and to said application, and in and to any Letters Patent, including any divisions, continuations, continuations-in-part, reissues, reexaminations, and extensions to be obtained thereof, in the United States, and countries foreign thereto, which may be granted for said Invention; and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto; and we further agree to cooperate with the Assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the Assignee may desire to apply for, but at the expense of said Assignee.

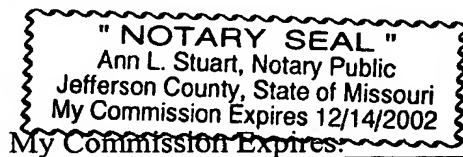
We hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as Assignee of the whole right, title and interest thereto; and we further agree to execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for our aforesaid Invention, as the Assignee, or its designees may require and prepare at its own expense; and execute all necessary and lawful future documents including assignments in favor of Assignee, or its designees, as Assignee or its designees may present to us in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto.

Rogers C. Ritter  
Rogers C. Ritter

STATE OF Missouri :  
COUNTY OF Jefferson :

On this 10 day of January, 2001 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Rogers C. Ritter, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



Ann L. Stuart  
Notary Public

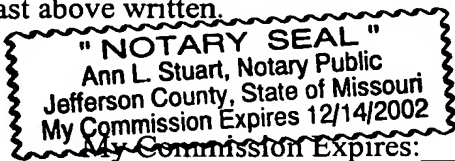
Bevil J. Hogg  
Bevil J. Hogg

STATE OF Mo.

COUNTY OF Jefferson

On this 1<sup>st</sup> day of January, 2001 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Bevil J. Hogg, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



Ann L. Stuart  
Notary Public

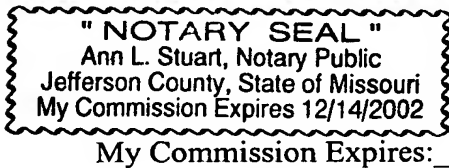
Peter R. Werp  
Peter R. Werp

STATE OF Mo

COUNTY OF Jefferson

On this 1<sup>st</sup> day of January, 2001 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Peter R. Werp, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



Ann L. Stuart  
Notary Public

Walter M. Blume  
Walter M. Blume

STATE OF :  
:  
COUNTY OF :

On this 3rd day of January, 2001 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Walter M. Blume, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "  
Ann L. Stuart, Notary Public  
Jefferson County, State of Missouri  
My Commission Expires 12/14/2002  
~~My Commission Expires:~~ \_\_\_\_\_

Ann L. Stuart  
Notary Public

Francis M. Creighton, IV  
Francis M. Creighton, IV

STATE OF :  
:  
COUNTY OF :

On this 3rd day of January, 2001 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Francis M. Creighton, IV, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "  
Ann L. Stuart, Notary Public  
Jefferson County, State of Missouri  
My Commission Expires 12/14/2002  
~~My Commission Expires:~~ \_\_\_\_\_

Ann L. Stuart  
Notary Public

Roger N. Hastings  
Roger N. Hastings



STATE OF

COUNTY OF

On this 2 day of January, 2001 before me, a Notary Public, within and for the County of Hennepin, State of Minnesota, personally appeared Roger N. Hastings, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Christina M. Hastings  
Notary Public

My Commission Expires: 1-31-05

